

Shareholding Pattern Galaxy Surfactants Ltd

Scrip Code : 540935

Quarter ending :September 2019

Declaration

| Particular | Yes/No |
|--|--------|
| Whether the Listed Entity has issued any partly paid up shares? | No |
| Whether the Listed Entity has issued any Convertible Securities ? | No |
| Whether the Listed Entity has issued any Warrants ? | No |
| Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| Whether the Listed Entity has any shares in locked-in? | Yes |
| Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| Whether company has equity shares with differential voting rights? | No |

Summary statement holding of specified securities

| Category of shareholder | No. of shareholders | No. of fully paid up equity shares held | Total no. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2) | No. of Voting Rights | Total as a % of Total Voting right | No. of Locked in shares | | No. of equity shares held in dematerialized form |
|------------------------------------|---------------------|---|-----------------------|---|----------------------|------------------------------------|-------------------------|--------------------------------|--|
| | | | | | | | No.(a) | As a % of total Shares held(b) | |
| (A) Promoter & Promoter Group | 20 | 2,51,51,744 | 2,51,51,744 | 70.94 | 2,51,51,744 | 70.94 | 70,91,000 | 28.19 | 2,51,51,744 |
| (B) Public | 43,024 | 1,03,03,008 | 1,03,03,008 | 29.06 | 1,03,03,008 | 29.06 | | 0.00 | 92,06,917 |
| (C1) Shares underlying DRs | | | | 0.00 | | 0.00 | | 0.00 | |
| (C2) Shares held by Employee Trust | | | | 0.00 | | 0.00 | | 0.00 | |
| (C) Non Promoter-Non Public | | | | 0.00 | | 0.00 | | 0.00 | |
| Grand Total | 43,044 | 3,54,54,752 | 3,54,54,752 | 100.00 | 3,54,54,752 | 100.00 | 70,91,000 | 20.00 | 3,43,58,661 |

Statement showing shareholding pattern of the Promoter and Promoter Group

| Category of shareholder | Nos. of shareholders | No. of fully paid up equity shares held | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2) | Number of Locked in shares | | Number of equity shares held in dematerialized form |
|---|----------------------|---|------------------------|---|----------------------------|--------------------------------|---|
| | | | | | No.(a) | As a % of total Shares held(b) | |
| A1) Indian | | | | 0.00 | | 0.00 | |
| Individuals/Hindu undivided Family | 15 | 1,68,55,894 | 1,68,55,894 | 47.54 | 70,91,000 | 42.07 | 1,68,55,894 |
| Shekhar Unnathan | 1 | 42,26,740 | 42,26,740 | 11.92 | 17,72,750 | 41.94 | 42,26,740 |
| Sandhya Sudhir Patil | 1 | 41,06,040 | 41,06,040 | 11.58 | 17,72,750 | 43.17 | 41,06,040 |
| Shashikant Rayappa Shanbhag | 1 | 40,97,684 | 40,97,684 | 11.56 | 17,72,750 | 43.26 | 40,97,684 |
| Gopalkrishnan Ramakrishnan | 1 | 23,62,758 | 23,62,758 | 6.66 | 17,72,750 | 75.03 | 23,62,758 |
| Jayashree Ramakrishnan | 1 | 18,42,972 | 18,42,972 | 5.20 | | 0.00 | 18,42,972 |
| Lakshmy Shekhar | 1 | 1,27,400 | 1,27,400 | 0.36 | | 0.00 | 1,27,400 |
| Sridhar Unnathan | 1 | 50,820 | 50,820 | 0.14 | | 0.00 | 50,820 |
| Anuradha Dayanand Prabhu | 1 | 12,000 | 12,000 | 0.03 | | 0.00 | 12,000 |
| Vandana Shashikant Shanbhag | 1 | 10,000 | 10,000 | 0.03 | | 0.00 | 10,000 |
| Gajanan Nilkant Sinai Amonkar | 1 | 6,000 | 6,000 | 0.02 | | 0.00 | 6,000 |
| Saraswathy | 1 | 3,370 | 3,370 | 0.01 | | 0.00 | 3,370 |
| Sumathi Gopal | 1 | 3,000 | 3,000 | 0.01 | | 0.00 | 3,000 |

| | | | | | | | |
|------------------------------|---|-------|-------|------|--|------|-------|
| Vanita Hiren Kerkar | 1 | 3,000 | 3,000 | 0.01 | | 0.00 | 3,000 |
| VAIDYANATHAN UNNATHAN | | | | 0.00 | | 0.00 | |
| SHANTHI LAKSHMINARASIMHAN | | | | 0.00 | | 0.00 | |
| KARTHIK SHEKHAR | | | | 0.00 | | 0.00 | |
| NANDINI SHEKHAR | | | | 0.00 | | 0.00 | |
| C.S. ANANDARAM | | | | 0.00 | | 0.00 | |
| HEMA SURYANARAYANAN | | | | 0.00 | | 0.00 | |
| BHOOMA SHYAM GOPAL | | | | 0.00 | | 0.00 | |
| AMIT RAMAKRISHNAN | | | | 0.00 | | 0.00 | |
| AKAASH RAMAKRISHNAN | | | | 0.00 | | 0.00 | |
| JANAKI SESHAN | | | | 0.00 | | 0.00 | |
| K.S. NATARAJAN | 1 | 4,100 | 4,100 | 0.01 | | 0.00 | 4,100 |
| PRADEEP PATIL | | | | 0.00 | | 0.00 | |
| ANIL PATIL | | | | 0.00 | | 0.00 | |
| SUCHITRA CHINDARKAR | | | | 0.00 | | 0.00 | |
| SUGANDHA SAWANT | | | | 0.00 | | 0.00 | |
| SIDDHARTH PATIL | | | | 0.00 | | 0.00 | |
| YASH PATIL | | | | 0.00 | | 0.00 | |
| VIBHAVARI RAMESH MANDE | | | | 0.00 | | 0.00 | |

| | | | | | | | |
|---|---|-----------|-----------|-------|--|------|-----------|
| SUMEDHA SAWANT | | | | 0.00 | | 0.00 | |
| SHREEKANT SHANBHAG | | | | 0.00 | | 0.00 | |
| LATA NAYAK | 1 | 10 | 10 | 0.00 | | 0.00 | 10 |
| PRANAV SHANBHAG | | | | 0.00 | | 0.00 | |
| SNEHA SHANBHAG | | | | 0.00 | | 0.00 | |
| VALLABH AMONKAR | | | | 0.00 | | 0.00 | |
| VIVEK AMONKAR | | | | 0.00 | | 0.00 | |
| Any Other (specify) | 5 | 82,95,850 | 82,95,850 | 23.40 | | 0.00 | 82,95,850 |
| Galaxy Chemicals, Partner Shekhar Unnathan | 1 | 19,38,550 | 19,38,550 | 5.47 | | 0.00 | 19,38,550 |
| Galaxy Chemicals, Partner Gopalkrishnan Ramakrishnan | 1 | 19,38,100 | 19,38,100 | 5.47 | | 0.00 | 19,38,100 |
| Galaxy Chemicals, Partner Sandhya Sudhir Patil | 1 | 19,38,100 | 19,38,100 | 5.47 | | 0.00 | 19,38,100 |
| Galaxy Chemicals, Partner Shashikant Rayappa Shanbhag | 1 | 19,38,100 | 19,38,100 | 5.47 | | 0.00 | 19,38,100 |
| Galaxy Emulsifiers Pvt Ltd | 1 | 5,43,000 | 5,43,000 | 1.53 | | 0.00 | 5,43,000 |
| GALAXY INVESTMENTS | | | | 0.00 | | 0.00 | |
| GALAXY ESTATES & HOLDINGS | | | | 0.00 | | 0.00 | |
| SHUBH ESTATES AND PROPERTIES | | | | 0.00 | | 0.00 | |
| OSMANIA TRADERS PVT. LTD. | | | | 0.00 | | 0.00 | |
| GALAXY FINSEC PVT. LTD. | | | | 0.00 | | 0.00 | |

| | | | | | | | |
|--|----|-------------|-------------|-------|-----------|-------|-------------|
| AEON CHEMICALS PVT. LTD. | | | | 0.00 | | 0.00 | |
| DATTA-SUMAN FARMS AND RESORTS PVT. LTD. | | | | 0.00 | | 0.00 | |
| Sub Total A1 | 20 | 2,51,51,744 | 2,51,51,744 | 70.94 | 70,91,000 | 28.19 | 2,51,51,744 |
| A2) Foreign | | | | 0.00 | | 0.00 | |
| A=A1+A2 | 20 | 2,51,51,744 | 2,51,51,744 | 70.94 | 70,91,000 | 28.19 | 2,51,51,744 |

Statement showing shareholding pattern of the Public shareholder

| Category & Name of the Shareholders | No. of shareholder | No. of fully paid up equity shares held | Total no. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | No. of Voting Rights | Total as a % of Total Voting right | No. of Locked in shares | | No. of equity shares held in dematerialized form(Not Applicable) |
|---|--------------------|---|-----------------------|--|----------------------|------------------------------------|-------------------------|--------------------------------|--|
| | | | | | | | No.(a) | As a % of total Shares held(b) | |
| B1) Institutions | 0 | 0 | | 0.00 | | 0.00 | | 0.00 | |
| Mutual Funds/ | 10 | 3803018 | 38,03,018 | 10.73 | 38,03,018 | 10.73 | | 0.00 | 38,03,018 |
| SBI Equity Hybrid Fund | 1 | 2412587 | 24,12,587 | 6.80 | 24,12,587 | 6.80 | | 0.00 | 24,12,587 |
| Axis Mutual Fund Trustee Ltd. | 1 | 473096 | 4,73,096 | 1.33 | 4,73,096 | 1.33 | | 0.00 | 4,73,096 |
| Reliance Capital Trustee Co. Ltd. | 1 | 401866 | 4,01,866 | 1.13 | 4,01,866 | 1.13 | | 0.00 | 4,01,866 |
| Kotak Small Cap Fund | 1 | 375246 | 3,75,246 | 1.06 | 3,75,246 | 1.06 | | 0.00 | 3,75,246 |
| Alternate Investment Funds | 5 | 170199 | 1,70,199 | 0.48 | 1,70,199 | 0.48 | | 0.00 | 1,70,199 |
| Foreign Portfolio Investors | 12 | 1054040 | 10,54,040 | 2.97 | 10,54,040 | 2.97 | | 0.00 | 10,54,040 |
| Abudhabi Investment Authority -Behave | 1 | 574107 | 5,74,107 | 1.62 | 5,74,107 | 1.62 | | 0.00 | 5,74,107 |
| Financial Institutions/ Banks | 2 | 5281 | 5,281 | 0.01 | 5,281 | 0.01 | | 0.00 | 5,281 |
| Insurance Companies | 2 | 575488 | 5,75,488 | 1.62 | 5,75,488 | 1.62 | | 0.00 | 5,75,488 |
| ICICI Prudential Life Insurance Company Limited | 1 | 444688 | 4,44,688 | 1.25 | 4,44,688 | 1.25 | | 0.00 | 4,44,688 |

| | | | | | | | | | |
|--|-------|---------|-----------|-------|-----------|-------|--|------|-----------|
| Sub Total B1 | 31 | 5608026 | 56,08,026 | 15.82 | 56,08,026 | 15.82 | | 0.00 | 56,08,026 |
| B2) Central Government/ State Government(s)/ President of India | 0 | 0 | | 0.00 | | 0.00 | | 0.00 | |
| B3) Non-Institutions | 0 | 0 | | 0.00 | | 0.00 | | 0.00 | |
| Individual share capital upto Rs. 2 Lacs | 40152 | 2550886 | 25,50,886 | 7.19 | 25,50,886 | 7.19 | | 0.00 | 21,51,075 |
| Individual share capital in excess of Rs. 2 Lacs | 16 | 737586 | 7,37,586 | 2.08 | 7,37,586 | 2.08 | | 0.00 | 7,37,586 |
| NBFCs registered with RBI | 2 | 69355 | 69,355 | 0.20 | 69,355 | 0.20 | | 0.00 | 69,355 |
| Any Other (specify) | 2823 | 1337155 | 13,37,155 | 3.77 | 13,37,155 | 3.77 | | 0.00 | 6,40,875 |
| IEPF | 1 | 28400 | 28,400 | 0.08 | 28,400 | 0.08 | | 0.00 | 28,400 |
| Trusts | 2 | 955 | 955 | 0.00 | 955 | 0.00 | | 0.00 | 955 |
| HUF | 2103 | 106731 | 1,06,731 | 0.30 | 1,06,731 | 0.30 | | 0.00 | 1,06,731 |
| Non-Resident Indian (NRI) | 373 | 753237 | 7,53,237 | 2.12 | 7,53,237 | 2.12 | | 0.00 | 1,94,705 |
| Jayshree Ramesh | 1 | 558532 | 5,58,532 | 1.58 | 5,58,532 | 1.58 | | 0.00 | 5,58,532 |
| Director or Director's Relatives | 8 | 194456 | 1,94,456 | 0.55 | 1,94,456 | 0.55 | | 0.00 | 1,94,456 |
| Employees | 51 | 135133 | 1,35,133 | 0.38 | 1,35,133 | 0.38 | | 0.00 | 5,885 |
| Clearing Members | 106 | 6090 | 6,090 | 0.02 | 6,090 | 0.02 | | 0.00 | 6,090 |
| Bodies Corporate | 179 | 112153 | 1,12,153 | 0.32 | 1,12,153 | 0.32 | | 0.00 | 1,03,653 |

| | | | | | | | | | |
|---------------------|-------|----------|-------------|-------|-------------|-------|--|------|-----------|
| Sub Total B3 | 42993 | 4694982 | 46,94,982 | 13.24 | 46,94,982 | 13.24 | | 0.00 | 35,98,891 |
| B=B1+B2+B3 | 43024 | 10303008 | 1,03,03,008 | 29.06 | 1,03,03,008 | 29.06 | | 0.00 | 92,06,917 |

Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category & Name of the Shareholders(I) | No. of shareholder(III) | No. of fully paid up equity shares held(IV) | Total no. shares held(VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII) | Number of Locked in shares(XII) | | Number of equity shares held in dematerialized form(XIV)(Not Applicable) |
|--|-------------------------|---|--------------------------------------|--|---------------------------------|-----------------------------|--|
| | | | | | No | As a % of total Shares held | |
| C1) Custodian/DR Holder | 0 | 0 | | 0.00 | | 0.00 | |
| C2) Employee Benefit Trust | 0 | 0 | | 0.00 | | 0.00 | |

Note

*The equity shares registered in the name of Mrs. Sandhya Sudhir Patil, promoter of the Company in her individual as well as Beneficial Owner Capacity are under transmission process due to her demise.